# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Add<br>Lull Matthe | dress of Reporting Pe<br>ew <u>K.</u> | rson*    | 2. Issuer Name and Ticker or Trading Symbol Phunware, Inc. [ PHUN ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner  |
|--------------------------------|---------------------------------------|----------|---|---|
| (Last)<br>1002 WEST            | (First)<br>AVENUE                     | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>09/28/2022      | X Officer (give title Other (specify below) Chief Cryptocurrency Officer  |
| (Street)<br>AUSTIN             | reet)<br>USTIN TX 78701               |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)            | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>X Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |
| (City)                         | (State)                               | (Zip)    | -Derivative Securities Acquired, Disposed of, or Bene               | ficially Owned  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transac<br>Code (Ir<br>8) |   |            | Disposed Of (D) (Instr. 3, 4 and 5) |                   | Securities<br>Beneficially Owned | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|---------------------------------|---|------------|-------------------------------------|-------------------|----------------------------------|---|---|
|                                 |  |   | Code                            | v | Amount     | (A) or<br>(D)                       | Price             | (Instr. 3 and 4)                 |   | (1150.4)  |
| Common Stock                    | 09/28/2022                                 |   | Α                               |   | 500,000(1) | Α                                   | \$ <mark>0</mark> | 500,000                          | D   |   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  |   |  |   |                                 |   |     |     |  |                    |  | ,                                   |                                      |  |  |  |
|--|---|--|---|---------------------------------|---|-----|-----|--|--------------------|--|-------------------------------------|--------------------------------------|--|--|--|
| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (Ir<br>8) |   |     |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |   | Code                            | v | (A) | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |                                      | Transaction(s)<br>(Instr. 4)   |  |  |

#### Explanation of Responses:

1. On September 28, 2022, the reporting person was granted the number of restricted stock units ("RSUs") in Table 1, Box 4 above, each of which represents a contingent right to receive one share of Phunware, Inc. common stock vest over four years, subject to the reporting person's continuous service with Phumware, Inc., with 135,417 RSUs vesting on May 8, 2023, 40,510 RSUs vesting on each of August 8, 2023 and November 8, 2023 and 40,509 RSUs vesting on each of May 8, 2024, August 8, 2024, November 8, 2024, May 8, 2025, August 8, 2025, November 8, 2025 and April 17, 2026.

## Remarks:

/s/ J. Brendhan Botkin, Attorney-09/30/2022 in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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