| FORM 4 | 4 |
|--------|---|
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| Check this box if no  |
|-----------------------|
| longer subject to     |
| Section 16. Form 4 or |
| Form 5 obligations    |
| may continue. See     |
| Instruction 1(b).     |

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Responses)  |  |  |        |  |        |  |   |                  |  |                         |
|--|--|--|--------|--|--------|--|---|------------------|--|-------------------------|
| 1. Name and Address of Reporting Po<br>Mayor Kathy                   |  |  |        |  |        | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner |   |                  |  |                         |
| (Last) (First)<br>C/O PHUNWARE, INC., 780<br>CREEK BLVD, SUITE 230-S |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>12/05/2019                   |        |  |        |  | Officer (give title below)  | Other (specify b | pelow)   |                         |
| (Street)<br>AUSTIN, TX 78757   |  | 4. If Amendment, Date Original Filed(Month/Day/Year)                             |        |  |        |  | 6. Individual or Joint/Group Filing(Check Applicable Line)<br>_X_Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |                  |  |                         |
| (City) (State)   | (Zip)  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |        |  |        |  |   |                  |  |                         |
| 1.Title of Security<br>(Instr. 3)                                    | Date<br>(Month/Day/Year)Execution Date, if<br>anyCode<br>(Instr. 8)(A) or Disposed of<br>(Instr. 3, 4 and 5) |  | of (D) | Beneficially Owned Following<br>Reported Transaction(s) Ownership<br>Form: Benefic |        |  |   |                  |  |                         |
|  |  | (Month/Day/Year)   | Code   | v  | Amount | (A) or<br>(D)  | Price   | (Instr. 3 and 4) | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |
| Common Stock (1)   | 12/05/2019   |  | А      |  | 56,099 | А  | \$0   | 63,599           | D  |                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

|             | (e.g., puts, calls, warrants, options, convertible securities) |                  |                    |            |     |        |       |                  |            |               |        |             |                |             |             |
|-------------|--|------------------|--------------------|------------|-----|--------|-------|------------------|------------|---------------|--------|-------------|----------------|-------------|-------------|
| 1. Title of | 2.   | 3. Transaction   | 3A. Deemed         | 4.         | 4   | 5.     |       | 6. Date Exer     | cisable    | 7. Tit        | le and | 8. Price of | 9. Number of   | 10.         | 11. Nature  |
| Derivative  | Conversion   | Date             | Execution Date, if | Transactio | n l | Numb   | ber   | and Expirati     | on Date    | Amo           | unt of | Derivative  | Derivative     | Ownership   | of Indirect |
| Security    | or Exercise  | (Month/Day/Year) | any                | Code       | 0   | of     |       | (Month/Day/Year) |            | Underlying    |        | Security    | Securities     | Form of     | Beneficial  |
| (Instr. 3)  | Price of   |                  | (Month/Day/Year)   | (Instr. 8) | 1   | Deriv  | ative | re               |            | Securities    |        | (Instr. 5)  | Beneficially   | Derivative  | Ownership   |
|             | Derivative   |                  |                    |            |     | Secur  |       | s                |            | (Instr. 3 and |        |             |                | Security:   | (Instr. 4)  |
|             | Security   |                  |                    |            | 1   | Acqui  | red   |                  |            | 4)            |        |             | 0              | Direct (D)  |             |
|             |  |                  |                    |            |     | (A) 01 |       |                  |            |               |        |             | 1              | or Indirect |             |
|             |  |                  |                    |            |     | Dispo  |       |                  |            |               |        |             | Transaction(s) |             |             |
|             |  |                  |                    |            |     | of (D) |       |                  |            |               |        |             | (Instr. 4)     | (Instr. 4)  |             |
|             |  |                  |                    |            |     | (Instr | · · · |                  |            |               |        |             |                |             |             |
|             |  |                  |                    |            | 4   | 4, and | 5)    |                  |            |               |        |             |                |             |             |
|             |  |                  |                    |            |     |        |       |                  |            |               | Amount |             |                |             |             |
|             |  |                  |                    |            |     |        |       | Date             | Expiration |               | or     |             |                |             |             |
|             |  |                  |                    |            |     |        |       | Exercisable      |            | Title         | Number |             |                |             |             |
|             |  |                  |                    |            |     |        |       | Excicisable      | Date       |               | of     |             |                |             |             |
|             |  |                  |                    | Code V     | V   | (A)    | (D)   |                  |            |               | Shares |             |                |             |             |

## **Reporting Owners**

|   | Relationships |              |         |       |  |  |  |
|---|---------------|--------------|---------|-------|--|--|--|
| Reporting Owner Name / Address  | Director      | 10%<br>Owner | Officer | Other |  |  |  |
| Mayor Kathy<br>C/O PHUNWARE, INC.<br>7800 SHOAL CREEK BLVD, SUITE 230-S<br>AUSTIN, TX 78757 | Х             |              |         |       |  |  |  |

### **Signatures**

| /s/ Matt Aune, Attorney-in-Fact | 12/05/2019 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date       |

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On December 5, 2019, the reporting person was granted 56,099 restricted stock units, each of which represents a contingent right to receive one share of Phunware, Inc. common stock. The restricted stock units vest in four equal quarterly installments beginning on March 5, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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